

FORM PTO-1449

ATTY. DOCKET NO.  
1488.131000D/EJH/SJEAPPLICATION NO.  
10/774,622FIRST NAMED INVENTOR  
Ni et al.FILING DATE  
February 10, 2004ART UNIT  
1646SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
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## FOREIGN PATENT DOCUMENTS

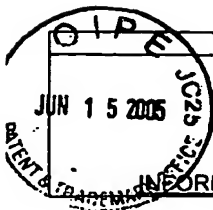
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
CK	AT	33	Australian Opposition Document, EVIDENCE IN SUPPORT: Statutory Declaration of Andreas Strasser dated 7 April 2005 together with Exhibits AS-10 to AS-23, submitted by Genentech, Inc. in the Opposition to Australian Patent Application 747635 (67635/98), 467 pages (April 7, 2005) -----DO NOT PRINT-----

EXAMINER	DATE CONSIDERED
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FORM PTO-1449

SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1488.131000D/EJH/SJEAPPLICATION NO.  
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## U.S. PATENT DOCUMENTS

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	AA						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	34	Australian Opposition Document, EVIDENCE IN SUPPORT: Statutory Declaration of David Vaux dated 12 April 2005 together with Exhibits DLV-8 to DLV-20, submitted by Genentech, Inc. in the Opposition to Australian Patent Application 747635 (67635/98), 412 pages (April 12, 2005) -----DO NOT PRINT-----
CK	AS	34	Australian Opposition Document, EVIDENCE IN SUPPORT: Statutory Declaration of Simon Tout dated 14 April 2005 together with Exhibits SDT-1 to SDT-3, submitted by Genentech, Inc. in the Opposition to Australian Patent Application 747635 (67635/98), 328 pages (April 14, 2005) -----DO NOT PRINT-----
	AT		

EXAMINER /Claire Kaufman/

DATE CONSIDERED 09/14/2006

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Examiner Signature	/Claire Kaufman/	Date Considered	09/14/2006
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02/10/04

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
CK	AA1	4,002,531	01/11/1977	Royer	195	68	01/22/1976
	AB1	4,946,778	08/07/1990	Ladner <i>et al.</i>	435	69.6	01/19/1989
	AC1	5,349,053	09/20/1994	Landolfi	530	351	06/10/1993
	AD1	5,447,851	09/05/1995	Beutler <i>et al.</i>	435	69.7	04/02/1992
	AE1	5,478,925	12/26/1995	Wallach <i>et al.</i>	530	351	08/07/1992
	AF1	5,530,101	06/25/1996	Queen <i>et al.</i>	530	387.3	12/19/1990
	AG1	5,565,332	10/15/1996	Hoogenboom <i>et al.</i>	435	69.1	09/23/1994
	AH1	5,643,575	07/01/1997	Martinez <i>et al.</i>	424	194.1	10/27/1993
	AI1	5,763,223	06/09/1998	Wiley <i>et al.</i>	435	69.5	06/25/1996
	AJ1	5,807,715	09/15/1998	Morrison <i>et al.</i>	435	69.6	06/27/1994
CK	AK1	6,072,047	06/06/2000	Rauch <i>et al.</i>	536	23.5	06/26/1997

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
CK	AL1	EP 0 239 400 B1	09/30/1998	Europe			Yes
	AM1	EP 0 401 384 B1	12/12/1990	Europe			No
	AN1	WO 91/06570	05/16/1991	WIPO			Yes
	AO1	WO 91/09967	07/11/1991	WIPO			No
CK	AP1	CA 2045869	12/29/1991	Canada			Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	1	Chaudhary, P.M., <i>et al.</i> , "Death Receptor 5, a New Member of the TNFR Family, and DR4 Induce FADD-Dependent Apoptosis and Activate the NF- $\kappa$ B Pathway," <i>Immunity</i> 7:821-830, Cell Press (December 1997).
CK	AS	1	Chinnaiyan, A.M., <i>et al.</i> , "Signal Transduction by DR3, a Death Domain-Containing Receptor Related to TNFR-1 and CD95," <i>Science</i> 274:990-992, American Association for the Advancement of Science (November 1996).
CK	AT	1	Delgado, C., <i>et al.</i> , "The Uses and Properties of PEG-Linked Proteins," <i>Crit. Rev. Ther. Drug Carrier Sys.</i> 9:249-304, CRC Press, Inc. (1992).

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
CK	AA2	6,313,269 B1	11/06/2001	Deen <i>et al.</i>	<del>630</del>	<del>350</del>	06/15/1999
CK	AB2	6,342,369 B1	01/29/2002	Ashkenazi	435	<del>68.1</del>	05/14/1998
CK	AC2	6,569,642 B1	05/27/2003	Rauch <i>et al.</i>	435	<del>69.1</del>	03/27/2000
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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
CK	AL2	EP 0 510 691 A1	10/28/1992	Europe			Yes
	AM2	WO 94/01548	01/20/1994	WIPO			No
	AN2	WO 95/06058	03/02/1995	WIPO			Yes
	AO2	WO 98/32856	07/30/1998	WIPO			No
CK	AP2	WO 98/35986	08/20/1998	WIPO			Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

CK	AR	2	Huston, J.S., <i>et al.</i> , "Protein Engineering of Single-Chain Fv Analogs and Fusion Proteins," <i>Meth. Enzymol.</i> 203:46-88, Academic Press, Inc. (1991).
CK	AS	2	Marsters, S.A., <i>et al.</i> , "A novel receptor for APO2L/Trail contains a truncated death domain," <i>Curr. Biol.</i> 7:1003-1006, Current Biology Ltd. (December 1997).
CK	AT	2	Morpurgo, M., <i>et al.</i> , "Covalent Modification of Mushroom Tyrosinase with Different Amphiphilic Polymers for Pharmaceutical and Biocatalysis Applications," <i>Appl. Biochem. Biotechnol.</i> 56:59-72, Humana Press Inc. (January 1996).

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**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
CK	AL3	EP 0 870 827 A2	10/14/1998	Europe			Yes
	AM3	WO 99/02653	01/21/1999	WIPO			No
	AN3	WO 98/46643 A1	10/22/1998	WIPO			Yes
	AO3	WO 98/51793 A1	11/19/1998	WIPO			No
	AP3	WO 99/00423 A1	01/07/1999	WIPO			Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

CK	AR	<u>3</u>	Morrison, S.L., "Transfectomas Provide Novel Chimeric Antibodies," <i>Science</i> 229:1202-1207, American Association for the Advancement of Science (1985).
CK	AS	<u>3</u>	Pan, G., <i>et al.</i> , "The Receptor for the Cytotoxic Ligand TRAIL," <i>Science</i> 276:111-113, American Association for the Advancement of Science (April 1997).
CK	AT	<u>3</u>	Pan, G., <i>et al.</i> , "An Antagonist Decoy Receptor and a Death Domain-Containing Receptor for TRAIL," <i>Science</i> 277:815-818, American Association for the Advancement of Science (August 1997).

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FORM PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT</b>	ATTY. DOCKET NO. 1488.131000D/EKS/EJH/SJE	APPLICATION NO. <i>To be assigned</i>
	FIRST NAMED INVENTOR Jian NI	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes
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	AM4						Yes
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	AN4						Yes
							No
	AO4						Yes
							No
	AP4						Yes
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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	4	Rieger, J., <i>et al.</i> , "APO2 ligand: a novel lethal weapon against malignant glioma?" <i>FEBS Lett.</i> 427:124-128, Federation of European Biochemical Societies (May 1998).
CK	AS	4	Roguska, M.A., <i>et al.</i> , "Humanization of murine monoclonal antibodies through variable domain resurfacing," <i>Proc. Natl. Acad. Sci. USA</i> 91:969-973, National Academy of Sciences (1994).
CK	AT	4	Schneider, P., <i>et al.</i> , "TRAIL Receptors 1 (DR4) and 2 (DR5) Signal FADD-Dependent Apoptosis and Activate NF- $\kappa$ B," <i>Immunity</i> 7:831-836, Cell Press (December 1997).

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	AA5						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes
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	AM5						Yes
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	AN5						Yes
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	AO5						Yes
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	AP5						Yes
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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	<u>5</u>	Sheikh, S.M., <i>et al.</i> , "p53-dependent and -independent Regulation of the Death Receptor <i>KILLER/DR5</i> Gene Expression in Response to Genotoxic Stress and Tumor Necrosis Factor $\alpha$ ," <i>Cancer Res.</i> 58:1593-1598, American Association for Cancer Research (April 1998).
CK	AS	<u>5</u>	Sheridan, J.P., <i>et al.</i> , "Control of TRAIL-Induced Apoptosis by a Family of Signaling and Decoy Receptors," <i>Science</i> 277:818-821, American Association for the Advancement of Science (August 1997).
CK	AT	<u>5</u>	Uhlén, M., <i>et al.</i> , "Fusion proteins in biotechnology and structural biology," <i>Curr. Opin. Biotechnol.</i> 3:363-369, Current Biology Ltd. (1992).

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	AA6						
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	AL6						No
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	AM6						No
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	AN6						No
							Yes
	AO6						No
							Yes
	AP6						No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	6	Wiley, S.R., <i>et al.</i> , Identification and Characterization of a New Member of the TNF Family that Induces Apoptosis," <i>Immunity</i> 3:673-682, Cell Press (1995).
CK	AS	6	Zamai, L., <i>et al.</i> , "Natural killer (NK) Cell-mediated Cytotoxicity: Differential Use of TRAIL and Fas Ligand by Immature and Mature Primary Human NK Cells," <i>J. Exp. Med.</i> 188:2375-2380, Rockefeller University Press (December 1998).
CK	AT	6	NCBI Entrez, Genbank Report, Accession No. Z66083, from MacDonald, M., <i>et al.</i> (October 1995).

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	Z	NCBI Entrez, Genbank Report, Accession No. AA223122, from Hillier, L., <i>et al.</i> (February 1997).
CK	AS	Z	NCBI Entrez, Genbank Report, Accession No. AA232440, from Hillier, L., <i>et al.</i> (February 1997).
CK	AT	Z	Pending Non-Provisional United States Patent Application No.09/042,583, Ni <i>et al.</i> , filed March 17, 1998.

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	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	8	Pending Non-Provisional United States Patent Application No. 09/565,009, Ni <i>et al.</i> , filed May 4, 2000.
CK	AS	8	Pending Non-Provisional U.S. Patent Application No. 09/912,293, filed July 26, 2001, NOT PUBLISHED: pages 1-75 (pages 1 + 2 partially redacted); portion of Table 2; and SEQ ID NOs.: 7851 and 190763. -----DO NOT PRINT-----
CK	AT	8	Beutler, B., and Cerami, A., "Tumor Necrosis, Cachexia, Shock, and Inflammation: A Common Mediator," <i>Ann. Rev. Biochem.</i> 57:505-518, Annual Reviews Inc. (1988).

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	AL9						No
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	AM9						No
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	AN9						No
							Yes
	AO9						No
							Yes
	AP9						No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	<u>9</u>	Fiers, W., "Tumor necrosis factor. Characterization at the molecular, cellular and in vivo level," <i>FEBS Lett.</i> 285:199-212, Elsevier Science B.V. (1991).
CK	AS	<u>9</u>	Goeddel, D.V., et al., "Tumor Necrosis Factors: Gene Structure and Biological Activities," <i>Cold Spring Harb. Symp. Quant. Biol.</i> 51(Pt. 1):597-609, Cold Spring Harbor Laboratory Press (1986).
CK	AT	<u>9</u>	Golstein, P., "Cell death: TRAIL and its receptors," <i>Curr. Biol.</i> 7:R750-R753, Current Biology Ltd. (December 1997).

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	AM10						Yes No
	AN10						Yes No
	AO10						Yes No
	AP10						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	<u>10</u>	Gruss, H.-J., and Dower, S.K., "Tumor Necrosis Factor Ligand Superfamily: Involvement in the Pathology of Malignant Lymphomas," <i>Blood</i> 85:3378-3404, The American Society of Hematology (1995).
CK	AS	<u>10</u>	Locksley, R.M., <i>et al.</i> , "The TNF and TNF Receptor Superfamilies: Integrating Mammalian Biology," <i>Cell</i> 104:487-501, Cell Press (February 2001).
CK	AT	<u>10</u>	Nagata, S., "Apoptosis by Death Factor," <i>Cell</i> 88:355-365, Cell Press (February 1997).

EXAMINER

DATE CONSIDERED

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FORM PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT</b>	ATTY. DOCKET NO. 1488.131000D/EKS/EJH/SJE	APPLICATION NO. <i>To be assigned</i>
	FIRST NAMED INVENTOR Jian Ni	
	FILING DATE February 10, 2004	ART UNIT <i>To be assigned</i>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA11						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	11	Old, L.J., "Tumor Necrosis Factor," <i>Scientific American</i> , pp. 59-75, Scientific American, Inc. (May 1988).
CK	AS	11	Wallach, D., "TNF Ligand and TNF/NGF Receptor Families," in <i>Cytokine Reference. A compendium of cytokines and other mediators of host defense</i> , Oppenheim, J.J., et al., eds., Academic Press, Inc., San Diego, CA, pp. 377-411 (August 2000).
CK	AT	11	Brojatsch, J., et al., "CAR1, a TNFR-Related Protein, Is a Cellular Receptor for Cytopathic Avian Leukosis-Sarcoma Viruses and Mediates Apoptosis," <i>Cell</i> 87:845-855, Cell Press (November 1996).

EXAMINER

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FORM PTO-1449

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*To be assigned*FIRST NAMED INVENTOR  
Jian NIFILING DATE  
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*To be assigned***U.S. PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

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	AM12						Yes
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	AO12						Yes
							No
	AP12						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

CK	AR	12	Chapman, B.S., "A region of the 75 kDa neurotrophin receptor homologous to the death domains of TNFR-I and Fas," <i>FEBS Lett.</i> 374:216-220, Elsevier (1995).
CK	AS	12	Rabizadeh, S., <i>et al.</i> , "Induction of Apoptosis by the Low-Affinity NGF Receptor," <i>Science</i> 261:345-348, American Association for the Advancement of Science (1993).
CK	AT	12	Tartaglia, L.A., <i>et al.</i> , "A Novel Domain within the 55 kd TNF Receptor Signals Cell Death," <i>Cell</i> 74:845-853, Cell Press (1993).

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	AL13						Yes No
	AM13						Yes No
	AN13						Yes No
	AO13						Yes No
	AP13						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	<u>13</u>	Statutory Declaration of Avi J. Ashkenazi, signed March 14, 2003. -----DO NOT PRINT-----
CK	AS	<u>13</u>	Curriculum vitae of Avi Ashkenazi. -----DO NOT PRINT-----
CK	AT	<u>13</u>	Copy of provisional U.S. application 60/040,846, inventor Ni <i>et al.</i> , filed March 17, 1997.

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FORM PTO-1449  <b>INFORMATION DISCLOSURE STATEMENT</b>	ATTY. DOCKET NO. 1488.131000D/EKS/EJH/SJE	APPLICATION NO. <del>To be assigned</del> 10/774,622
	FIRST NAMED INVENTOR Jian Ni	
	FILING DATE February 10, 2004	ART UNIT <del>To be assigned</del> 1646

## U.S. PATENT DOCUMENTS

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	AO14						Yes
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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	14	Copy of provisional U.S. application 60/054,021, inventor Ni <i>et al.</i> , filed July 29, 1997.
CK	AS	14	Copy of U.S. application 08/857,216, inventor Ashkenazi, filed May 15, 1997.
CK	AT	14	Statement of Grounds and Particulars filed in the opposition to Human Genome Sciences, Inc., Australian Patent Application 747635 (67635/98) by Genentech, Inc.

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EXAMINER	/Claire Kaufman/	DATE CONSIDERED	09/14/2006
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FORM PTO-1449

FIRST SUPPLEMENTAL  
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Ni *et al.*FILING DATE  
February 10, 2004ART UNIT  
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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CK	AD2	2002/0098550 A1	07/25/2002	Ni <i>et al.</i>	X	X	12/07/2001
	AE2	6,743,625	06/01/2004	Ni <i>et al.</i>			06/06/2001
	AF2	6,461,823	10/08/2002	Ni <i>et al.</i>			11/24/1999
	AG2	6,342,363	01/29/2002	Ni <i>et al.</i>			01/27/1998
CK	AH2	6,433,147	08/13/2002	Ni <i>et al.</i>			05/05/2000
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CK	AL4	WO 98/41629 A2 & A3	09/24/1998	WIPO	X	X	Yes
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	AM4	EP 1 181 319 A0	12/07/2000	Europe			Yes
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	AN4	EP 1 192 185 A0	12/14/2000	Europe			Yes
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CK	AO4	EP 1 287 035 A1	03/05/2003	Europe			Yes
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							No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

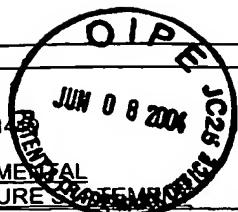
CK	AR	15	Allison, J., <i>et al.</i> , "Transgenic expression of CD95 ligand on islet $\beta$ cells induces a granulocytic infiltration but does not confer immune privilege upon islet allografts," <i>Proc. Natl. Acad. Sci. USA</i> 94:3943-3947, National Academy Press (April 1997)
	AS	15	Allison, J., and Strasser, A., "Mechanisms of $\beta$ cell death in diabetes: A minor role for CD95," <i>Proc. Natl. Acad. Sci. USA</i> 95:13818-13822, National Academy Press (November 1998)
CK	AT	15	Bodmer, J.-L., <i>et al.</i> , "TRAMP, a Novel Apoptosis-Mediating Receptor with Sequence Homology to Tumor Necrosis Factor Receptor 1 and Fas(Apo-1/CD95)," <i>Immunity</i> 6:79-88, Cell Press (January 1997)

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Page 2 of 19							
FORM PTO-1485 FIRST SUPPLEMENTAL INFORMATION DISCLOSURE	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">ATTY. DOCKET NO. 1488.131000D/EKS/EJH/M-M</td> <td style="width: 50%;">APPLICATION NO. 10/774,622</td> </tr> <tr> <td colspan="2">FIRST NAMED INVENTOR Ni <i>et al.</i></td> </tr> <tr> <td>FILING DATE February 10, 2004</td> <td>ART UNIT 1646</td> </tr> </table>	ATTY. DOCKET NO. 1488.131000D/EKS/EJH/M-M	APPLICATION NO. 10/774,622	FIRST NAMED INVENTOR Ni <i>et al.</i>		FILING DATE February 10, 2004	ART UNIT 1646
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FIRST NAMED INVENTOR Ni <i>et al.</i>							
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	AM						No
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	AO						No
	AP						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
CK	AR	16	Boldin, M.P., <i>et al.</i> , "A Novel Protein That Interacts with the Death Domain of Fas/APO1 Contains a Sequence Motif Related to the Death Domain," <i>J. Biol. Chem.</i> 270:7795-7798, American Society for Biochemistry and Molecular Biology, Inc. (1995)
CK	AS	16	Chicheportiche, Y., <i>et al.</i> , "TWEAK, a New Secreted Ligand in the Tumor Necrosis Factor Family That Weakly Induces Apoptosis," <i>J. Biol. Chem.</i> 272:32401-32410, American Society for Biochemistry and Molecular Biology, Inc. (December 1997)
CK	AT	16	Chinnaiyan, A.M., <i>et al.</i> , "FADD, a Novel Death Domain-Containing Protein, Interacts with the Death Domain of Fas and Initiates Apoptosis," <i>Cell</i> 81:505-512, Cell Press (1995)

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	AM						Yes No
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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
CK	AR	17	Clerici, M., <i>et al.</i> , "Type 1 and Type 2 Cytokines in HIV Infection - A Possible Role in Apoptosis and Disease Progression," <i>Ann. Med.</i> 29:185-188, Finnish Medical Society DUODECIM (June 1997)
CK	AS	17	Degli-Esposti, M.A., <i>et al.</i> , "Cloning and Characterization of TRAIL-R3, a Novel Member of the Emerging TRAIL Receptor Family," <i>J. Exp. Med.</i> 186:1165-11170, Rockefeller University Press (October 1997)
CK	AT	17	Degli-Esposti, M.A., <i>et al.</i> , "The Novel Receptor TRAIL-R4 Induces NF- $\kappa$ B and Protects against TRAIL-Mediated Apoptosis, yet Retains an Incomplete Death Domain," <i>Immunity</i> 7:813-820, Cell Press (December 1997)

EXAMINER	DATE CONSIDERED
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	18	Duan, H. and Dixit, V.M., "RAIDD is a new 'death' adaptor molecule," <i>Nature</i> 385:86-89, Macmillan Publishers, Ltd. (January 1997)
CK	AS	18	Frankfurt, O.S., <i>et al.</i> , "Protection from Apoptotic Cell Death by Interleukin-4 is Increased in Previously Treated Chronic Lymphocytic Leukemia Patients," <i>Leuk. Res.</i> 21:9-16, Elsevier Science, Ltd (January 1997)
CK	AT	18	Gooch, J.L., <i>et al.</i> , "Interleukin 4 Inhibits Growth and Induces Apoptosis in Human Breast Cancer Cells," <i>Cancer Res.</i> 58:4199-4205, American Association for Cancer Research (September 1998)

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	19	Grell, M., <i>et al.</i> , "Induction of cell death by tumour necrosis factor (TNF) receptor 2, CD40 and CD30: a role for TNF-R1 activation by endogenous membrane-anchored TNF," <i>EMBO J.</i> 18:3034-3043, Oxford University Press (June 1999)
CK	AS	19	Hardiman, G., <i>et al.</i> , "Genetic Structure and Chromosomal Mapping of MyD88," <i>Genomics</i> 45:332-339, Academic Press (October 1997)
CK	AT	19	Hildeman, D.A., <i>et al.</i> , "Activated T Cell Death In Vivo Mediated by Proapoptotic Bcl-2 Family Member Bim," <i>Immunity</i> 16:759-767, Cell Press (June 2002)

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	AN						Yes No
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	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	20	Hill, M.E., <i>et al.</i> , "Prognostic Significance of BCL-2 Expression and <i>bcl-2</i> Major Breakpoint Region Rearrangement in Diffuse Large Cell Non-Hodgkin's Lymphoma: A British National Lymphoma Investigation Study," <i>Blood</i> 88:1046-105, American Society of Hematology (August 1996)
CK	AS	20	Ho, Y.S., <i>et al.</i> , "Brain acetylhydrolase that inactivates platelet-activating factor is a G-protein-like trimer," <i>Nature</i> 385:89-93, Macmillan Publishers, Ltd. (January 1997)
CK	AT	20	Hofmann, K., and Bucher, P., "The CARD domain: a new apoptotic signalling motif," <i>Trends Biochem. Sci.</i> 22:155-156, Elsevier Science, Ltd. (May 1997)

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Ni *et al.*FILING DATE  
February 10, 2004ART UNIT  
1646

## U.S. PATENT DOCUMENTS

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	AN						Yes No
	AO						Yes No
	AP						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

CK	AR	<u>21</u>	Horigome, A., <i>et al.</i> , "Tacrolimus-induced apoptosis and its prevention by interleukins in mitogen-activated human peripheral-blood mononuclear cells," <i>Immunopharmacology</i> 39:21-30, Elsevier Science B.V. (March 1998)
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CK	AT	<u>21</u>	Huang, D.C.S., <i>et al.</i> , "Activation of Fas by FasL induces apoptosis by a mechanism that cannot be blocked by Bcl-2 or Bcl-x <sub>L</sub> ," <i>Proc. Natl. Acad. Sci. USA</i> 96:14871-14876, National Academy Press (December 1999)

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	AN						Yes No
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CK	AR	22	Huang, D.C.S., <i>et al.</i> , "Bcl-2, Bcl-x <sub>L</sub> and adenovirus protein E1B19kD are functionally equivalent in their ability to inhibit cell death," <i>Oncogene</i> 14:405-414, Stockton Press (January 1997)
CK	AS	22	Irmler, M., <i>et al.</i> , "Direct physical interaction between the <i>Caenorhabditis elegans</i> 'death proteins' CED-3 and CED-4," <i>FEBS Lett.</i> 406:189-190, Elsevier Science B.V. (April 1997)
CK	AT	22	Irmler, M., <i>et al.</i> , "Inhibition of death receptor signals by cellular FLIP," <i>Nature</i> 388:190-195, Macmillan Publishers, Ltd. (July 1997)

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CK	AR	23	Karin, M., and Lin, A., "NF- $\kappa$ B at the crossroads of life and death," <i>Nat. Immunol.</i> 3:221-227, Nature Publishing Group (March 2002)
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FORM PTO-1449  <b>FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>	ATTY. DOCKET NO. 1488.131000D/EKS/EJH/M-M	APPLICATION NO. 10/774,622
	FIRST NAMED INVENTOR Ni <i>et al.</i>	
	FILING DATE February 10, 2004	ART UNIT 1646

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CK	AT	27	Odaka, C., <i>et al.</i> , "Immunosuppressant deoxyspergualin induces apoptotic cell death in dividing cells," <i>Immunol.</i> 95:370-376, Blackwell Science, Ltd. (November 1998)

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CK	AR	<u>28</u>	Pan, G., <i>et al.</i> , "TRUNDD, a new member of the TRAIL receptor family that antagonizes TRAIL signalling," <i>FEBS Lett.</i> 424:41-45, Elsevier Science B.V. (March 1998)
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CK	AR	<u>30</u>	Thome, M., <i>et al.</i> , "Viral FLICE-inhibitory proteins (FLIPs) prevent apoptosis induced by death receptors," <i>Nature</i> 386:517-521, Macmillan Publishers, Ltd. (April 1997)
CK	AS	<u>30</u>	Villunger, A., <i>et al.</i> , "Fas Ligand, Bcl-2, Granulocyte Colony-Stimulating Factor, and p38 Mitogen-activated Protein Kinase: Regulators of Distinct Cell Death and Survival Pathways in Granulocytes," <i>J. Exp. Med.</i> 192:647-657, Rockefeller University Press (September 2000)
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CK	AS	33	Copy of co-pending U.S. Application No. 10/648,825, inventors Ni <i>et al.</i> , filed August 27, 2003, now U.S. Published Patent Application No. 2004/1036951
	AT		

EXAMINER /Claire Kaufman/

DATE CONSIDERED 09/14/2006

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